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UTILITY
PATENT APPLICATION
TRANSMITTAL

Attorney Docket No.		ILI 125 CON (2)
First Inventor		Brian S. Sproat
Title	Purification of Oligomers	

Date

Express Mail Label No. | EL 717 747 017 US (Only for new nonprovisional applications under 37 CFR 1.53(b)) Assistant Commissioner for Patents APPLICATION ELEMENTS ADDRESS TO: **Box Patent Application** See MPEP chapter 600 concerning utility patent application contents. Washington, DC 20231 Fee Transmittal Form (e.g., PTO/SB/17) CD-ROM or CD-R in duplicate, large table or (Submit an original and a duplicate for fee processing) Computer Program (Appendix) Applicant claims small entity status. 2. 8. Nucleotide and/or Amino Acid Sequence Submission See 37 CFR 1.27. (if applicable, all necessary) specification [Total Pages | 38 | 3. 1 Computer Readable Form (CRF) - Descriptive title of the invention Specification Sequence Listing on: - Cross Reference to Related Applications CD-ROM or CD-R (2 copies); or i. 🔲 - Statement Regarding Fed sponsored R & D - Reference to sequence listing, a table, i i. 🔽 paper or a computer program listing appendix c. Statements verifying identity of above copies - Background of the Invention - Brief Summary of the Invention ACCOMPANYING APPLICATION PARTS - Brief Description of the Drawings (if filed) - Detailed Description Assignment Papers (cover sheet & document(s)) - Claim(s) Power of 37 CFR 3.73(b) Statement 1 - Abstract of the Disclosure 10. (when there is an assignee) Attorney English Translation Document (if applicable) 4 Drawing(s) (35 U.S.C. 113) [Total Sheets Copies of IDS Information Disclosure 12 5. Oath or Declaration [Total Pages Citations Statement (IDS)/PTO-1449 **Preliminary Amendment** Newly executed (original or copy) Copy from a prior application (37 CFR 1.63 (d)) 13. Return Receipt Postcard (MPEP 503) (for continuation/divisional with Box 18 completed) (Should be specifically itemized) Certified Copy of Priority Document(s) (if foreign priority is claimed) DELETION OF INVENTOR(S) Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR Nonpublication Request under 35 U.S.C. 122 16. 1.63(d)(2) and 1.33(b). (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent. 6. Application Data Sheet. See 37 CFR 1.76 Recordation of Change of Name with 17. Other: Form PTO-1595-----18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76: 09,908,042 Continuation Divisional Continuation-in-part (CIP) of prior application No.: Prior application information: Examiner: Jezia Riley Group Art Unit: For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been divisional application and is hereby incorporated by reference. ON DENC Customer Number or Bar Code Label Correspondence address below Patrea L. Pabst Holland & Knight LLP Name 2000 One Atlantic Center 1201 West Peachtree Street Address Atlanta State City Zip Code GΑ 30309-3400 404-817-8473 404-817-8588 Country United States Telephone Fax Patrea L/Pabst/Esg. 31,284 Registration No. (Attorney/Agent) Name (Print/Type) July 24, 2003 Signature

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Paten, and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231. ILI 125 CON (2) (OCR 1048) - 078245/00043



DUPLICATE

ILI 125 CON (2) (OCR 1048) - 078245/00043

Approved for use through 04/30/2003. OMB 0651-0032
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

FEE TRANSMITTAL for FY 2003

Effective 01/01/2003. Patent fees are subject to annual revision.

✓ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$) 415.00

Commissioner for Patents, Washington, DC 20231.

Complete if Known			
Application Number	Continuation of 09/908,042		
Filing Date	July 25, 2003		
First Named Inventor	Brian S. Sproat		
Examiner Name			
Art Unit			
Attorney Docket No.	ILI 125 CON (2)		

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**or number previously paid, if greater; For Relssues, see above *Reduced by Basic Filing Fee Paid SUBTOTAL (3) (\$) 40.00	[
SUBMITTED BY (Complete (if applicable)	

Name (Print/Type)
Patrea L. Pabst, Esg.
Registration No. (Attornev/Agent)
Signature

Registration No. (Attornev/Agent)
Date
July 24, 2003

WARNING: Information on this form may become public. Credit card information should not

be included on this form. Provide credit card information and authorization on PTO-2038.

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO:

Continuation of U.S.S.N. 09/908,042

Filed: July 25, 2003

REQUEST FOR FILING A CONTINUATION

APPLICATION UNDER 37 C.F.R. § 1.53(b) Express Mail Label No.: EL 717 747 017 US

Date of Deposit: July 25, 2003

CERTIFICATE OF MAILING UNDER 37 C.F.R. § 1.10

I hereby certify that this paper and any documents referred to as attached or enclosed are

being deposited with the United States Postal Service on this date, July 25, 2003, in an envelope

as "Express Mail Post Office to Addressee" service under 37 C.F.R. § 1.10, Express Mail Label

No. EL 717 747 017 US, addressed to MAIL STOP PATENT APPLICATION, Commissioner

for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Van Turnhough
Pam Turnbough

Date: July 25, 2003

ATL1 #586406 v1

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ILI 125 CON (2) 078245/00043

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:

Brian S. Sproat

Serial No.:

Continuation of 09/908,042

Express Mail Label

No. EL 717 747 017 US

Filed:

July 25, 2003

Date of Deposit: July 25, 2003

For:

PURIFICATION OF OLIGOMERS

MAIL STOP PATENT APPLICATION Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

REQUEST FOR FILING A CONTINUATION APPLICATION **UNDER 37 C.F.R. § 1.53(b)**

Sir:

Pursuant to 35 U.S.C. § 21(a), as amended by Public Law 97-247, and 37 C.F.R. §§ 1.10 and 1.53(b), Applicant encloses for filing the attached new patent application entitled "Purification of Oligomers". This application is a continuation of pending prior application Serial No. 09/908,042 filed July 18, 2001, entitled "Purification of Oligomers" by Brian S. Sproat, which is a continuation of Serial No. 08/883,712 filed June 27, 1997. The application includes 1 page of abstract, 32 pages of specification, 1 sheet of sequence listing, 4 pages of claims, 4 sheets of formal drawings, and a copy of the executed Declaration for Patent Application from prior application Serial No. 09/908,042.

Continuation of U.S.S.N. 09/908,042

Filed: July 25, 2003

REQUEST FOR FILING A CONTINUATION APPLICATION UNDER 37 C.F.R. § 1.53(b)

Express Mail Label No.: EL 717 747 017 US

Date of Deposit: July 25, 2003

Pursuant to 37 C.F.R. § 1.63(d), the copy of the executed Declaration for Patent

Application included in the new application is a copy of the executed Declaration filed in parent

application Serial No. 09/908,042, to which the new application claims benefit.

The inventorship for the claims in the new application is the same as in parent application

Serial No. 09/908,042.

Enclosed are a Preliminary Amendment and an Information Disclosure Statement. Please

amend the application in accordance with the Preliminary Amendment.

This application contains nucleic acid and/or protein sequences as defined in 37 C.F.R.

§ 1.821-1.825. The sequence listing for the new application is identical to the sequence listing

for Serial No. 09/908,042 filed July 18, 2001 by Brian S. Sproat. Sequence listings in computer

readable form were submitted in application Serial No. 09/908,042 on July 18, 2001. No

subsequent sequence listing was submitted. Accordingly, pursuant to 37 C.F.R. § 1.821(e),

Applicant hereby requests that the computer readable form of the sequence listing submitted in

application Serial No. 09/908,042 on July 18, 2001 be used as the computer readable form of the

sequence listing for the new application. The application has a paper copy of the Sequence

Listing incorporated therein.

I declare that the material in the prior sequence listing is identical to the paper copy of the

Sequence Listing present in the new application, that the Sequence Listing does not add new

matter to the application, and that all statements made on information and belief are believed to

2

ILI 125 CON (2) 078245/00043 Continuation of U.S.S.N. 09/908,042

Filed: July 25, 2003

REQUEST FOR FILING A CONTINUATION APPLICATION UNDER 37 C.F.R. § 1.53(b)

Express Mail Label No.: EL 717 747 017 US

Date of Deposit: July 25, 2003

be true and further that these statements were made with the knowledge that willful false

statements may jeopardize the validity of the application or any patent issuing thereon.

Enclosed from prior application Serial No. 09/908,042 are copies of (a) two Powers of

Attorney by Assignee of an Undivided Joint Interest and Revocation of Prior Powers, executed by

each of Yale University and Ribozyme Pharmaceuticals, Inc.; (b) two Statements Under

37 CFR §3.73(b), executed by each of Yale University and Ribozyme Pharmaceuticals, Inc.; and

(c) three Notices of Recordation of Assignment, from (i) Brian S. Sproat to Innovir Laboratories,

Inc.; (ii) Innovir Laboratories, Inc. to Ribozyme Pharmaceuticals, Inc. and Innovir Laboratories,

Inc.; and (iii) Innovir Laboratories, Inc. to Yale University.

Also enclosed are an (a) Application Data Sheet; (b) Recordation of Change of Name

from Ribozyme Pharmaceuticals, Inc. to Sirna Therapeutics, Inc. with Form PTO-1595;

(c) Utility Patent Application Transmittal; and (d) Fee Transmittal (in duplicate).

This application is entitled to claim small entity status pursuant to 37 C.F.R. § 1.27.

Enclosed is a check in the amount of \$415.00 to cover the \$375.00 filing fee for a small

entity and the \$40.00 fee for recordation of the change of name document. It is believed that

\$375.00 is the proper filing fee since the application includes 1 independent claim and a total of

9 claims upon entry of the enclosed Preliminary Amendment.

The Commissioner is hereby authorized to charge any additional fees which may be

required, or credit any overpayment to Deposit Account No. 50-1868. To facilitate this process,

Applicant encloses a duplicate of this transmittal.

3

ILI 125 CON (2) 078245/00043 Continuation of U.S.S.N. 09/908,042 Filed: July 25, 2003 REQUEST FOR FILING A CONTINUATION APPLICATION UNDER 37 C.F.R. § 1.53(b) Express Mail Label No.: EL 717 747 017 US Date of Deposit: July 25, 2003

The power of attorney in the prior application is to Patrea L. Pabst.

All correspondence concerning this application should be mailed to:

Customer No. 23579
Patrea L. Pabst, Esq.
HOLLAND & KNIGHT LLP
2000 One Atlantic Center
1201 West Peachtree Street, N.E.
Atlanta, GA 30309-3400

This application is being filed on July 25, 2003, by mailing the application to MAIL STOP PATENT APPLICATION, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 via the United States Postal Service "Express Mail Post Office to Addressee" Service under 37 C.F.R. § 1.10. The Express Mail Label number appears in the heading of this paper which is attached to the application papers pursuant to 37 C.F.R. § 1.10(b).

Respectfully submitted,

Patrea L. Pabst Reg. No. 31,284

Date: July 24, 2003

HOLLAND & KNIGHT LLP 2000 One Atlantic Center 1201 West Peachtree Street, N.E. Atlanta, Georgia 30309-3400 (404) 817-8473 (Telephone) (404) 817-8588 (Fax)